



11-03-05

IFW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 43

Application Number	10/696,384
Filing Date	10/29/03
First Named Inventor	Mahesh Chaubal
Art Unit	1615
Examiner Name	Unknown
Attorney Docket Number	IFT-5657A-1A1C6

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard, Transmittal Letter(dup), PTO/SB/08A, 1 FR ref-17pgs (incls Eng. abstrct), 1 WO ref-9 pgs, 1 ISR/wrtn op-12pgs
<b>Remarks</b> 1st Supplemental IDS		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Baxter International Inc.		
Signature			
Printed name	Michael V. Mayo		
Date	November 2, 2005	Reg. No.	38,545

**CERTIFICATE OF TRANSMISSION/MAILING**Express Mail Label  
ER 705 786 013 45

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	Susanne L. Grant	Date	November 2, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chaubal et al. )  
Serial No.: 10/696,384 )  
Filed: October 29, 2003 ) Group Art Unit: 1615  
Title: PROCESS FOR PRODUCTION OF ESSENTIALLY ) Examiner: Unknown  
SOLVENT-FREE SMALL PARTICLES )  
Attorney ) Confirmation No.: 8266  
Docket No.: IFT-5657A-1A1C6 )

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references listed on the attached Information Disclosure Statement By Applicant (PTO/SB08A) are called to the attention of the U.S. Patent and Trademark Office. Applicants enclose a copy of each U.S. and foreign patent documents for the Examiner's consideration.

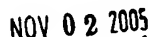
This statement is provided in order to comply with 37 C.F.R. § 1.56, § 1.97 and §1.98, and this statement is not to be construed as a representation that no information exists which is more material than these references, or that the information is considered to be material to patentability.

Applicants believes that there is no charge for this submission; however, the Commissioner is authorized to charge any fees, or credit any overpayment, to Deposit Account No. 02-1440. A duplicate copy of this transmittal letter is enclosed for accounting purposes.

Respectfully submitted,

Michael C. Mayo  
Attorney for Applicants  
Registration No. 38,545

November 2, 2005  
Baxter Healthcare Corporation  
One Baxter Parkway, DF3-2E  
Deerfield, Illinois 60015  
(847) 948-2136



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

Sheet 1

of 1

**Complete if Known**

Application Number	10/696,384
Filing Date	10/29/03
First Named Inventor	Chaubal
Art Unit	1615
Examiner Name	Unknown
Attorney Docket Number	IFT-5657A-1A1C6

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> * Number <sup>4</sup> * Kind Code <sup>5</sup> (if known)				
		FR 2817478	06/07/02	L'Oreal		
		FR 2817478-Abstrct in Eng	06/07/02	L'Oreal		
		WO 91/07170	05/30/91	Schering Aktiengesellscha		
		ISR / Written Opinion				
		PCT/US2004/035335	10/06/05	Baxter International Inc.		

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**